



**Full Length Research Article**

**EFFICIENT MINING HIGH UTILITY ITEM SETS FROM TRANSACTIONAL DATABASES USING  
UP-GROWTH AND UP-GROWTH+ ALGORITHM**

**\*Dewarde, D. H. and Kahate, S. A.**

Department of Computer Engg., Sharad Chandra Pawar College of Engineering, A/P-Dumbarwadi (Otur).

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**ABSTRACT**

One of the important research area in data mining is high utility pattern mining. Discovering item sets with high utility like profit from database is known as high utility item set mining. There are number of existing algorithms have been work on this issue. Some of them incurs problem of generating large number of candidate item sets. This leads to degrade the performance of mining in case of execution time and space. In this paper we have focus on UP-Growth and UP-Growth+ algorithm which overcomes this limitation. This technique uses tree based data structure, UP-Tree for generating candidate item sets with two scan of database. In this paper we extend the functionality of these algorithms on transactional database. Discovering item sets with high utility like profitable items from database is known as high utility item set mining. There are many number of existing algorithms have been work on this issue. But some of them incurs problem of generating large number of candidate item sets. This affects to degrade the performance of mining in case of execution time and space. In this paper we have focus on UP-Growth and UP-Growth+ algorithm which will overcome this limitation. This technique uses tree based data structure finding item sets, UP-Tree for generating candidate item sets with two scan of database. In this paper we extend the functionality of UP-Growth and UP-Growth+ algorithms on transactional database. In High utility item sets mining the objective is to identify item sets that have utility value above a given utility threshold to generate tree.

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**INTRODUCTION**

Frequent item sets mining means finding items that occurs in a database above a user given frequency threshold. These techniques do not consider the quantity or profit of the purchased items. Therefore it is not efficient for the user who want find the importance of the items in database. However quantity and profit are basic terms for maximizing the profit of the organization. For this purpose new technique is introduced called as high utility mining. This technique refers to finding item setss from database which gives high utility. Utility means importance or interestedness of items. Utility of items is calculated by multiplying internal utility and external utility. Item sets in a single transaction is called internal utility and item sets in different transaction database is called external utility. High utility item sets is item sets which have utility no less than a user-specified minimum utility threshold; otherwise, it is called a low-utility item sets.

**\*Corresponding author: Dewarde, D. H.**

Department of Computer Engg., Sharad Chandra Pawar College of Engineering, A/P-Dumbarwadi (Otur).

In many applications like cross-marketing in retail stores mining such high utility item setss from databases is an important task. Existing techniques (Chowdhury Farhan Ahmed *et al.*, 2009; Alva Erwin *et al.*, 2008; Shankar *et al.*, 2008; Raymond Chan *et al.*, 2003; Ramaraju and Savarimuthu, 2011 and Ying Liu *et al.*, 2005) used for utility pattern mining. However, the existing methods often generate a large set of potential high utility item setss and the mining performance is degraded consequently. If database contain long transactions or low threshold value is set situation is more complicated for utility mining. The large number of potential high utility item setss forms a challenging problem to the mining performance. In view of this, utility mining emerges as an important topic in data mining field. Mining high utility item setss from databases refers to finding the item setss with high profits. Here, the meaning of item sets utility is interestingness, importance in market, or profitability in market of an item to users. Utility of items in a transaction database consists of two aspects:

- 1) the importance of distinct items, which is called external utility, and 2) the importance of items in transactions, which is

called internal utility. Utility of an item sets is defined as the product of its external utility and its internal utility. An item sets is called a high utility item sets if its utility is no less than a user-specified minimum utility threshold; otherwise, it is called a low-utility item sets. Mining high utility item sets from databases is an important task has a wide range of applications such as website click stream analysis business promotion in chain hypermarkets, cross marketing in retail stores online e-commerce management, mobile commerce environment planning, and even finding important patterns in biomedical applications. However, mining high utility item sets from databases is not an easy task since downward closure property in Frequent item sets mining does not hold. In other words, pruning search space for high utility item sets mining is difficult because a superset of a low-utility item sets may be a high utility item sets. A naïve method to address this problem is to enumerate all item sets from databases by the principle of exhaustion. Obviously, this method suffers from the problems of a large search space, especially when databases contain lots of long transactions or a low minimum utility threshold is set.

Two existing algorithms deal with these issues. In this paper these algorithms will work on transactional transaction database.

## RELATED WORK

Chowdhury Farhan Ahmed, Syed Khairuzzaman Tanbeer, Byeong-Soo Jeong, and Young-Koo Lee presented three novel tree structures for efficiently perform transactional and interactive HUP mining (Chowdhury Farhan Ahmed *et al.*, 2009). The first tree structure is used to arrange the items according to their lexicographic order. It is known as Transactional HUP Lexicographic Tree (IHUPL-Tree). It can capture the transactional data without any restructuring operation. The next tree structure is the IHUP Transaction Frequency Tree (IHUPTF-Tree), which arranging items according to their transaction frequency in descending order. To reduce the mining time, the last tree, IHUP-Transaction-Weighted Utilization Tree (IHUPTWU-Tree) is designed. Structure of this tree is based on the TWU value of items in descending order.

Alva Erwin, Raj P. Gopalan, and N. R. Achuthan, proposed CTU-PROL algorithm for efficient mining of high utility item sets from large datasets (Alva Erwin *et al.*, 2008). This algorithm finds the large TWU items in the transaction database. If data sets is too large to be held in main memory, the algorithm creates subdivisions using parallel projections and for each subdivision, a *Compressed Utility Pattern Tree (CUP-Tree)* is used to mine the complete set of high utility item sets. If the dataset is small, it creates a single *CUP-Tree* for mining high utility item sets. Shankar S., Purusothaman T., Jayanthi, S., suggested a novel algorithm for mining high utility item sets (Shankar *et al.*, 2008). This fast utility mining (FUM) algorithm finds all high utility item sets within the given utility constraint threshold. The proposed FUM algorithm scales well as the size of the transaction database increases with regard to the number of distinct items available. R. Chan, Q. Yang, and Y. Shen, suggested mining high utility item sets (Raymond Chan *et al.*, 2003). They proposed a

novel idea of top-K objective-directed data mining, which focuses the top-K highutility closed patterns. They add the concept of utility to capture highly desirable statistical patterns and present a level wise item sets mining algorithm. They develop a new pruning strategy based on utilities that allow pruning of low utility item sets to be done by means of a weaker but antimonotonic condition.

Ramaraju C., Savarimuthu N., proposed a conditional tree based novel algorithm for high utility item sets mining (Ramaraju and Savarimuthu, 2011). A novel conditional high utility tree (CHUT) compress the transactional databases in two stages to reduce search space and a new algorithm called HU-Mine is proposed to mine complete set of high utility item sets.

Y. Liu, W. Liao, and A. Choudhary, proposed a fast high utility item sets mining algorithm (Ying Liu *et al.*, 2005). They are present a Two-Phase algorithm to efficiently prune down the number of candidates and can precisely obtain the complete set of high utility item sets. In the first phase, they propose a model that applies the “transaction-weighted downward closure property” on the search space to expedite the identification of candidates. Latter phase identifies the high utility item sets.

Adinarayanareddy B., O. Srinivasa Rao, MHM Krishna Prasad, suggested improved UP-Growth high utility item sets mining (Adinarayanareddy *et al.*, 2012). The compact tree structure, Utility Pattern Tree i.e. UP-Tree, maintains the information of transactions and their item sets. It facilitates the mining performance and avoid scanning original database frequently. UP-Tree scans database only twice to obtain candidate items and manage them in an efficient data structured way. UP-Growth takes more execution time for Second Phase by using UP-Tree. Hence they presents modified algorithm aiming to reduce the execution time by effectively identifying high utility item sets.

P. Asha, Dr. T. Jebarajan, G. Saranya, presents a survey on efficient transactional algorithm for mining high utility item sets in distributed and dynamic database (Asha *et al.*, 2014). The proposed system employs one master node and two slave nodes. Database is partitioned for every slave node for computation. The slave node counts the occurrence of each item. These data's are stored in their local table. Then each slave node sends these tables to master node. The Master Node maintain global table for storing these data. Based on the minimum utility threshold value it calculates the promising and unpromising item sets.

## Problemdefinition

We have studied some proposed algorithms in related work. But all these algorithms incur the problem of producing a large number of candidate item sets. Such a large number of candidate item sets degrades the mining performance in terms of execution time and space. If algorithm generates huge number of candidate item sets, then higher processing time it consumes. Utility pattern growth (UP-Growth) and UP-Growth+ algorithm (Vincent *et al.*, 2013) overcomes this limitation. These algorithms mine high utility item sets by

using effective strategies. The information of high utility item sets is maintained in a tree-based data structure named *utility pattern tree (UP-Tree)* such that candidate item sets can be generated efficiently with only two scans of database.

## PROPOSED SYSTEM

UP-growth and UP-Growth+(Vincent *et al.*, 2013) algorithm find high utility Item sets efficiently. By applying the proposed strategies of these algorithms (Like DGU, DGN, DLU, and DLN), the number of generated candidate item sets can be highly reduced in phase I and high utility item sets can be identified more efficiently in phase II. This technique used on static datasets. It did not consider the modification of database. Our proposed system will work on transactional database i.e. deletion or insertion of one or more records from database will consider. To achieve this it uses the existing techniques (Chowdhury Farhan Ahmed *et al.*, 2009). Proposed system can avoid unnecessary or repetition of calculations by using previous results when a database is updated, or when the threshold value is changed.

System Architecture of proposed system as follows:

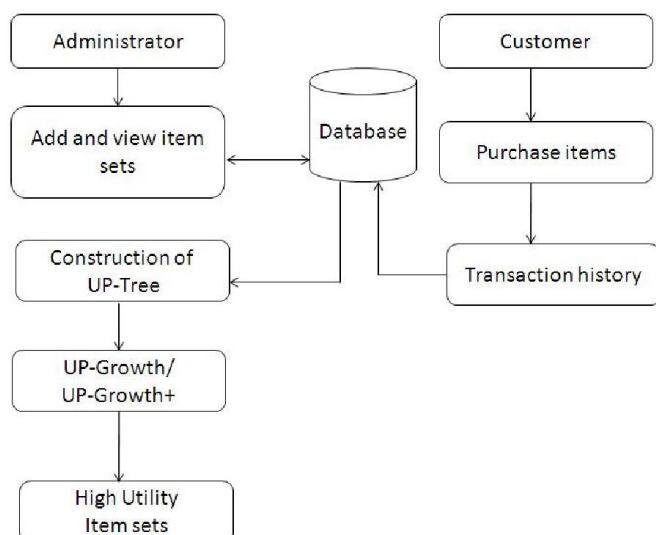


Fig. 1. System architecture

## MATERIALS AND METHODS

### Module 1: Administrator

The administrator maintain database of the transactions made by customers. In the daily market basis, each day a new product is released, so that the administrator would add the product, update the new product view the stock details.

### Module 2: Customer

Customer can purchase the items. All the purchased items history are stored in the transaction database.

### Module 3: Construction of UP-Tree (Vincent *et al.*, 2013)

#### First scan

- Initially Transaction Utility (TU) of each transaction is computed. Then TWU of each single item is also accumulated.

- Discarding global unpromising items.
- Utilities of unpromising items are eliminated from the TU of the transaction.
- Then remaining promising items in the transaction are sorted according to the descending order of TWU.

#### Second scan

- UP-Tree is constructed by inserting transactions.

### Module 4: UP-Growth Algorithm (Vincent *et al.*, 2013)

UP-Growth efficiently generates PHUIs from the global UP-Tree with two strategies, namely DLU (Discarding local unpromising items) and DLN (Decreasing local node utilities). For this Minimum Item Utility Table, abbreviated as MIUT, is used to maintain the minimum item utility for all global promising items.

In DLU (Discarding local unpromising items) strategy the minimum item utilities of unpromising items are discarded from path utilities of the paths during the construction of a local UP-Tree.

In DLN (Decreasing local node utilities) the minimum item utilities of descendant nodes for the node are decreased during the construction of a local UP-Tree. It is applied during the insertion of the reorganized paths.

### Module 5: UP-Growth+Algorithm (Vincent *et al.*, 2013)

Applying UP-Tree to the UP-Growth takes more execution time for Phase II. A modified algorithm i.e. UP-Growth+ reduce the execution time by effectively identifying high utility item sets. It computes the Maximum transaction Weighted Utilization (MTWU) from all items and considering multiple of  $min\_sup$  as a user specified threshold value.

### Module 6: UP-growth and UP-growth+ for transactional Database

Proposed system will work, where continuous updating goes on appearing in a database. If the data is continuously added to the original transaction database, then the database size becomes larger and mining the entire lot would take high computation time, hence proposed system will mine only the updated portion of the database. It will use previous mining results to avoid unnecessary calculations.

## Conclusion

UP Growth and UP-growth+ algorithm are efficient for high utility item sets mining. It also gives better performance on transactional database. This algorithm works better if one or more transactions are deleted or inserted in transaction database. It avoids unnecessary calculations by using previous mining results. This technique generates candidate item sets with only two scans of the original database. A data structure named UP-Tree was proposed for maintaining the information of high utility item sets. PHUIs can be efficiently generated from UP-Tree with only two database scans. Moreover, we developed several strategies to decrease overestimated utility

and enhance the performance of utility mining. In the experiments, both real and synthetic data sets were used to perform a thorough performance evaluation.

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